

IFW



CERTIFICATE OF MAILING 37 C.F.R. § 1.8	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below:	
July 7, 2004 Date	<i>Mary Paul</i> Signature

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: CHRISTOPH SCHWAN	Group Art Unit: 2812
Serial No.: 10/813,317	Examiner: Unknown
Filed: March 30, 2004	Atty. Dkt. No.: 2000.111000/DE0231
For: METHOD OF FORMING A CONFORMAL SPACER ADJACENT TO A GATE ELECTRODE STRUCTURE	Customer No.: 23720

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Because the filing date of the present application is after June 30, 2003, a copy of the listed U.S. patent is not included.

In accordance with 37 C.F.R §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be

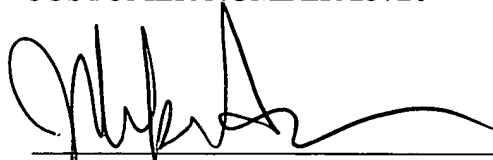
an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

In accordance with 37 C.F.R. § 1.97(e)(1), Applicant hereby certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the present statement, as evidenced by the date of the enclosed letter from our associate in Germany.

Applicant respectfully requests that the listed document be made of record in the present case.

Respectfully submitted,

WILLIAMS, MORGAN & AMERSON
CUSTOMER NUMBER 23720

A handwritten signature in black ink, appearing to read 'J. Mike Amerson', written over a horizontal line.

Date: July 7, 2004

J. Mike Amerson
Reg. No. 35,426
10333 Richmond, Suite 1100
Houston, Texas 77042
(713) 934-4055
(713) 934-7011 (facsimile)

ATTORNEY FOR APPLICANT

GRÜNECKER KINKELDEY STOCKMAIR & SCHWANHÄUSSER

ANWALTSSOZIELTÄT



GKS & S MAXIMILIANSTRASSE 58 D-80538 MÜNCHEN GERMANY

WILLIAMS, MORGAN & AMERSON, PC

10333 RICHMOND, SUITE 1100
HOUSTON, TX 77042
USA

Attn. Mr. Mike Amerson

001-713-9347011

2004111000
PREVIOUSLY ☐ NOT REQUIRED ☒
ACTION REQUIRED IPS

Art. in DE. aa.

7-17-04

IHR ZEICHEN / YOUR REF.

AMD Ref. No. DE0231

UNSER ZEICHEN / OUR REF.

P33954APwe

Re: German Patent Application No. 103 39 989.5-33
Applicant: ADVANCED MICRO DEVICES, INC.

Dear Mike:

Regarding the above-referenced German patent application, please be informed that we have received a first Official Communication dated May 25, 2004 from the German Patent and Trademark Office, to which a response is due by October 3, 2004.

Please find enclosed a translated copy of the Official Communication citing 1 prior art document and a copy of the cited document, which we are forwarding to allow you enough time to file the necessary disclosure statement with the USPTO. We will contact you again once we have studied the Official Communication and reported thereon to Paul Drake.

Very truly yours,

(Anton Pfau)

Encls: Copy of translated Office Action
Copy of cited prior art

GRÜNECKER KINKELDEY
STOCKMAIR & SCHWANHÄUSSER
MAXIMILIANSTR. 58
D-80538 MÜNCHEN
GERMANY

TEL. +49 89 21 23 50
FAX +49 89 22 02 87
FAX +49 89 21 86 92 93
<http://www.grunecker.de>
e-mail: info@grunecker.de

DEUTSCHE BANK MÜNCHEN
No. 17 51734
BLZ 700 700 10
SWIFT: DEUT DE MM

PATENTANWÄLTE
EUROPEAN PATENT ATTORNEYS
BERLIN
PROF. DR. MANFRED BÖNING
DR. PATRICK ERK, M.S. (MIT)
KÖLN
DR. MARTIN DROPMANN
CHEMNITZ
MANFRED SCHNEIDER

OF COUNSEL
PATENTANWÄLTE
DR. GUNTER BEZOLD

DR. WILFRIED STOCKMAIR
(-1996)

2004 2 3 2004

DATUM / DATE

17.06.2004

PATENTANWÄLTE
EUROPEAN PATENT ATTORNEYS
MÜNCHEN
DR. HERMANN KINKELDEY
PETER H. JAKOB
WOLFHARD MEISTER
HANS HILGERS
DR. HENNING MEYER-PLATH
ANNELE EHNOLD
THOMAS SCHUSTER
DR. KLARA GOLDBACH
MARTIN AUFENANGER
GOTTFRIED KLITZSCH
DR. HEIKE VOGELSANG-WENKE
REINHARD KNAUER
DIETMAR KUHLE
DR. FRANZ-JOSEF ZIMMER
BETTINA K. REICHELT
DR. ANTON K. PFÄU
DR. UDO WEIGELT
RAINER BERTRAM
JENS KOCH, M.S. (U of PA) M.S.
BERND ROTHAEDEL
DR. DANIELA KINKELDEY
THOMAS W. LAUBENTHAL
DR. ANDREAS KAYSER
DR. JENS HAMMER
DR. THOMAS EICKELKAMP
JOCHEN KILCHERT
DR. THOMAS FRIEDE
DR. ANJA DIETEL

RECHTSANWÄLTE
LAWYERS

MÜNCHEN
DR. HELMUT EICHMANN
GERHARD BARTH
DR. ULRICH BLUMENRÖDER, LL.M.
CHRISTA NIKLAS-FALTER
DR. MAXIMILIAN KINKELDEY, LL.M.
DR. KARSTEN BRANDT
ANJA FRANK, LL.M.
UTE STEPHANI
DR. BERND ALLEKOTTE, LL.M.
DR. ELVIRA PFANG, LL.M.
KARIN LOCHNER
BABETT ERTLE
CHRISTINE NEUHIERL
SABINE PRÜCKNER

Form PTO-1449 (modified)

Atty. Docket No.

2000.111000/DE0231

Serial No.

10/813,317

List of Patents and Publications for Applicant's

Applicant

Christoph Schwan

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Filing Date:

March 30, 2004

Group:

2812

U.S. Patent Documents

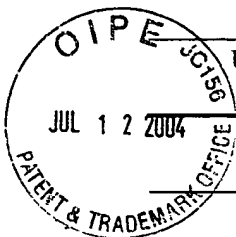
See Page 1

Foreign Patent Documents

See Page 1

Other Art

See Page 1



U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	2002/0182795	12/05/02	Bae <i>et al.</i>	438	200	
	A2						
	A3						
	A4						
	A5						

Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1						
	B2						
	B3						

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	
	C2	
	C3	

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.